

WFM Asia (CH) GmbH

Swiss Financial Services Act ("FinSA") – Information Sheet

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| Name and contact | WFM Asia (CH) GmbH (the " Company ") c/o CSC Corporate Services (Suisse) GmbH, Zählerweg 6, 6300 Zug |
| Activities and supervisory status | The Company is a Limited Liability Company (<i>société à responsabilité limitée</i>) under Swiss law. The Company is not authorized or supervised by the Swiss Financial Market Supervisory Authority (" FINMA ") |
| Ombudsman | Swiss clients always have the option to initiate free mediation proceedings before an independent Ombudsman to seek to settle any issue with the Company. The Company's Ombudsman is Finanzombudsstelle Schweiz ("FINOS"). FINOS may be contacted at: info@finos.ch |
| Financial service | The Company provides the following financial service: acquisition and disposal of financial instruments in the form of interests in financial instruments, i.e. funds, to professional and institutional clients including HNWI's with opting out. As part of this financial service, the Company does not perform for its clients any execution of orders of financial instruments. |
| Risk information | Transactions with financial instruments and financial services are associated with opportunities and risks. It is therefore important that you are familiar with and understand these risks. The SwissBanking brochure " Risks Involved in Trading Financial Instruments " contains general information on typical financial services and on the characteristics and risks of financial instruments. Familiarize yourself with this information before engaging in any financial service and contact the Company if you have any questions. You can obtain a copy of the brochure online or in printed form from the Company. Any risks associated with fund's investments, and the costs of such financial instruments, shall be as specified in the fund's private placing memorandum. |
| Product and costs information | Additional information on the fund and it's investments can be found in the fund's private placing memorandum. Costs and fees may be incurred both by the Company and by third parties in connection with the provided financial services. On request, the Company will be happy to provide you with detailed information about the costs and fees of your financial service. |
| Conflicts of interest | The conflicts of interests are dealt with in accordance with the global WFM Asia policies regarding conflicts of interests. |
| Market offer | The strategy is to offer WFM Asia's products to professional and institutional investors. |
| No best execution | The Company is neither subject to the duty to review the appropriateness and suitability of the financial services nor to transparency and care in client orders. |